

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PHILLIPS *et al.*

Appl. No.: 10/017,435

Filed: December 14, 2001

For: **Voice Application Development  
Methodology**

Confirmation No.: 6756

Art Unit: 2626

Examiner: Wozniak, James S.

Atty. Docket: 2222.0820003

**Second Supplemental Information Disclosure Statement**

***Mail Stop Amendment***

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent

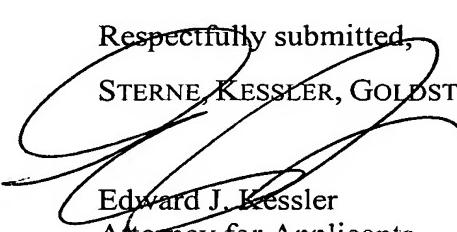
application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

A copy of document NPL1 is submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached IDS Forms are submitted.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

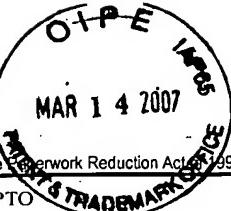
The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,  
  
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
Edward J. Kessler  
Attorney for Applicants  
Registration No. 25,688

Date: March 14, 2007  
1100 New York Avenue, N.W.  
Washington, D.C. 20005-3934  
(202) 371-2600

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Atty. Dkt. No. 2222.0820003



Equivalent of Form PTO/SB/08A (07-06)

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Substitute for form 1449A/PTO

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1 of 2

### Complete if Known

Application Number	10/017,435
Filing Date	December 14, 2001
First Named Inventor	Mark PHILLIPS
Art Unit	2626
Examiner Name	Wozniak, James S.

Attorney Docket Number 2222.0820003

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
US1	2001/0013001 A1	08/2001	Brown et al.		
US2	4,731,811	03/1998	Dubus		
US3	5,651,056	07/1997	Eting et al.		
US4	5,721,827	02/1998	Logan et al.		
US5	5,899,975	05/1999	Nielsen		
US6	5,918,213	06/1999	Bernard et al.		
US7	5,930,752	07/1999	Kawaguchi et al.		
US8	5,943,648	08/1999	Tel		
US9	6,049,604	04/2000	Lin		
US10	6,055,513	04/2000	Katz et al.		
US11	6,055,566	04/2000	Kikinis		
US12	6,144,938	11/2000	Surace et al.		
US13	6,233,559	05/2001	Balakrishnan		
US14	6,327,568	12/2001	Joost		
US15	6,349,290	02/2002	Horowitz et al.		
US16	6,385,584	05/2002	McAllister et al.		
US17	6,400,806	06/2002	Uppaluru		
US18	6,408,272	06/2002	White et al.		
US19	6,418,440	07/2002	Kuo et al.		
US20	6,539,359	03/2003	Ladd et al.		

### FOREIGN PATENT DOCUMENTS

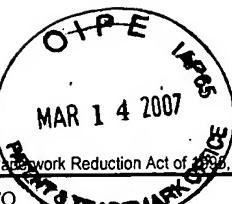
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
FP1						
FP2						
FP3						
FP4						
FP5						
FP6						
FP7						
FP8						
FP9						

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/017,435
				Filing Date	December 14, 2001
				First Named Inventor	Mark PHILLIPS
				Art Unit	2626
				Examiner Name	Wozniak, James S.
Sheet	2	of	2	Attorney Docket Number	2222.0820003

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
	US21	6,718,015	04/2004	Berstis	
	US22	6,823,225	11/2004	Sass	
	US23				
	US24				
	US25				
	US26				
	US27				
	US28				
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	US31				
	US32				
	US33				
	US34				
	US35				
	US36				
	US37				
	US38				
	US39				
	US40				

FOREIGN PATENT DOCUMENTS					
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		T <sup>6</sup>
	FP10				
	FP11				
	FP12				
	FP13				
	FP14				
	FP15				
	FP16				
	FP17				
	FP18				

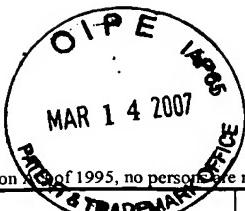
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**SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(Use as many sheets as necessary)*

Sheet

1

of

1

**Complete if Known**

Application Number	10/017,435
Filing Date	December 14, 2001
First Named Inventor	Mark PHILLIPS
Art Unit	2626
Examiner Name	Wozniak, James S.
Attorney Docket Number	2222.0820003

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL1	Asthana, A. and Krzyzanowski, P., "A Small Domain Communications System for Personalized Shopping Assistance," <i>Personal Wireless Communications, IEEE International Conference</i> , pp. 199-203, August 1994.	
	NPL2		
	NPL3		
	NPL4		
	NPL5		
	NPL6		
	NPL7		
	NPL8		
	NPL9		
	NPL10		

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